

IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE

PATENT

TRANSMITTAL OF MISSING PARTS AND AMENDMENT
FOR PATENT APPLICATION PURSUANT TO 37 C.F.R. §1.53(d)

Applicant(s): RACANELLI et al. Docket No.: 60944.0100
Serial No.: 09/590,462 Client Ref. No.: 99RSS140
Filed: June 9, 2000 Art Unit No.: NYA
Title: DOUBLE-IMPLANT HIGH Examiner: NYA
PERFORMANCE VARACTOR
AND METHOD FOR
MANUFACTURING SAME

Assistant Commissioner for Patents
Box **MISSING PARTS**
Washington, D.C. 20231-9998

Sir:

In response to the Notice to File Missing Parts of Application mailed on June 16, 2000 in the above-identified application, enclosed herewith are the missing parts needed to complete filing of the subject patent application.

1. Small Entity Status

- [] Small entity status under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- [] Verified statements to establish small entity status under 37 C.F.R. §§1.9 and 1.27 is enclosed.

2. Fee Calculation, Claims as Amended

Basic Filing Fee (\$690.00) plus Surcharge (\$130)			=			\$ 130.00
	Claims remaining after amendment	Highest number previously paid for	Present Extra			Additional Fees
Total Effective Claims	44	minus 20 =	24 x	\$ 18.0	=	432.00
Independent Claims	6	minus 3 =	3 x	\$ 80.0	=	240.00
If amendment enters proper multiple dependent claim(s) into this application for first time (per application)				\$260.0	+	N/A
Subtotal:						802.00

11/28/2000 GTEFFERA 00000103 09590462

01 FC:116

390.00 0P

Fee calculation, request for extension of time pursuant to 37 C.F.R. §1.136(a)

<input type="checkbox"/>	Missing parts filed within first month after due date - add	\$ 110.00+	N/A
<input checked="" type="checkbox"/>	Missing parts filed within second month after due date - add	\$ 390.00+	390.00
<input type="checkbox"/>	Missing parts filed within third month after due date - add	\$ 890.00+	N/A
<input type="checkbox"/>	Missing parts filed within fourth month after due date - add	\$1390.00+	N/A
	Subtotal		1192.00

If one of the "small entity" boxes above is checked, enter half (½) of the Subtotal and subtract.

Add: Fee (\$40.00) for recording assignment and other fee(s): 40.00

TOTAL FEES **\$1232.00**

3. Enclosures (check as applicable)

- ☒ Notice of Missing Parts (copy)
- ☒ Executed Declaration and Oath
- ☐ Executed Power of Attorney
- ☐ Verified Statement(s) of Small Entity Status under 37 C.F.R. §§1.9(c), 1.9(d), and 1.27
- ☒ Check for balance of filing fees
- ☒ Assignment and required Recordation Form Cover Sheet
- ☐ Information Disclosure Statement pursuant to 37 C.F.R. §§1.97-1.99 (with PTO Form 1449 and copies of citations)
- ☐ Other:

Extra copies of this letter:

- ☒ for charge to deposit account (**deficiency only**)
- ☐ for assignment

4. Manner of Payment

- ☐ Please charge Deposit Account No. 19-2814 in the amount of \$_____. A duplicate copy of this sheet is attached.
- ☒ Checks in the amount of \$944.00 and \$248.00 are attached. (Snell & Wilmer Check Nos. 490163 and 282533).
- ☒ A separate check in the amount of \$40.00 is attached (Snell & Wilmer Check No. 282531) for payment of the assignment recordation..

This statement does NOT authorize charge of the issue fee. The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any **deficiency** in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R. §§1.16-1.18 (**deficiency only**) now or hereafter relative to this Application and the resulting Official document under 37 C.F.R. §1.20, or credit any overpayment to Account No. 19-2814 **for which purpose a duplicate copy of this sheet is attached.**

Fee calculation, request for extension of time pursuant to 37 C.F.R. §1.136(a)

<input type="checkbox"/>	Missing parts filed within first month after due date - add	\$ 110.00+	N/A
<input checked="" type="checkbox"/>	Missing parts filed within second month after due date - add	\$ 390.00+	390.00
<input type="checkbox"/>	Missing parts filed within third month after due date - add	\$ 890.00+	N/A
<input type="checkbox"/>	Missing parts filed within fourth month after due date - add	\$1390.00+	N/A
	Subtotal		1192.00

If one of the "small entity" boxes above is checked, enter half (½) of the Subtotal and subtract.

Add: Fee (\$40.00) for recording assignment and other fee(s): 40.00

TOTAL FEES \$1232.00

3. Enclosures (check as applicable)

- ☒ Notice of Missing Parts (copy)
- ☒ Executed Declaration and Oath
- ☐ Executed Power of Attorney
- ☐ Verified Statement(s) of Small Entity Status under 37 C.F.R. §§1.9(c), 1.9(d), and 1.27
- ☒ Check for balance of filing fees
- ☒ Assignment and required Recordation Form Cover Sheet
- ☐ Information Disclosure Statement pursuant to 37 C.F.R. §§1.97-1.99 (with PTO Form 1449 and copies of citations)
- ☐ Other:

Extra copies of this letter:

- ☒ for charge to deposit account (**deficiency only**)
- ☐ for assignment

4. Manner of Payment

- ☐ Please charge Deposit Account No. 19-2814 in the amount of \$_____. A duplicate copy of this sheet is attached.
- ☒ Checks in the amount of \$944.00 and \$248.00 are attached. (Snell & Wilmer Check Nos. 490163 and 282533).
- ☒ A separate check in the amount of \$40.00 is attached (Snell & Wilmer Check No. 282531) for payment of the assignment recordation..

This statement does NOT authorize charge of the issue fee. The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any **deficiency** in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R. §§1.16-1.18 (**deficiency only**) now or hereafter relative to this Application and the resulting Official document under 37 C.F.R. §1.20, or credit any overpayment to Account No. 19-2814 **for which purpose a duplicate copy of this sheet is attached.**

5. Assignment

[X] An Assignment of this application is enclosed. Please record the Assignment and return the recorded Assignment to the undersigned attorney.

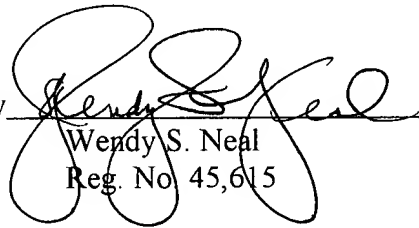
6. Request for Filing Date Correction

[] Applicant further requests that the filing date of this application be corrected to _____, and that a corrected filing receipt be issued pursuant to the Request for Correction of Filing Receipt Data filed _____. The application was filed by Express Mail pursuant to 37 C.F.R. §1.10 on such date, and is accordingly entitled to that filing date. A copy of Request for Correction to Filing Receipt Data as filed is attached.

The Patent and Trademark Office is respectfully requested to send a filing receipt for this application to the undersigned attorney and forward the application for examination.

Respectfully submitted,

By



Wendy S. Neal
Reg. No. 45,615

SNELL & WILMER L.L.P.
One Arizona Center
400 East Van Buren
Phoenix, Arizona 85004-2202
(602) 382-6334

11-24-00

file:///c:/APPS/preexam/correspondence/3.htm

SECTOR

FORMALITIES LETTER



OC00000005294967

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/590,462	06/09/2000	Marco Racanelli	60944.0100

Wendy S Neal
Snell & Wilmer LLP
One Arizona Center
400 E Van Buren
Phoenix, AZ 85004-2202



Date Mailed: 08/03/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

M. Haggerty
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/28/2000 GTEFFERA 00000102 09590462

01 FC:105	130.00 OP
02 FC:103	432.00 OP
03 FC:102	240.00 OP